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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/634,380

08/04/2003

Yoshihiro Kawamura

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8186

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7590

04/17/2006

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EXAMINER

BHAT, NINA NMN

ART UNIT

PAPER NUMBER

1764

DATE MAILED: 04/17/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/634,380

Applicant(s)

KAWAMURA ET AL.

Examiner

N. Bhat

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 04 August 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-27 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 04 August 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
- 1) ☒ Certified copies of the priority documents have been received.
 - 2) ☐ Certified copies of the priority documents have been received in Application No. _____.
 - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. The disclosure is objected to because of the following informalities: In the specification, Page 4, line 5 applicant is required to correct the heading to read as follows: --Brief Description of the Drawings-- Appropriate correction is required.

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-27 are rejected under 35 U.S.C. 102(b) as being anticipated by Shioya et al. PGPUB US 2002/0094462.

Shioya et al. PGPUB US 2002/0094462 teach providing a compact reactor/fuel cell/power generator which includes a fuel reforming portion, water gas shift reaction, which is shown in Figure 5, where fuel reforming takes place in portion 16(a) specifically 160X, also included is the water gas shift reaction portion depicted as 160Y and where selective oxidation reaction takes place in portion 160Z. [Note Paragraphs 0258-0263] Shioya et al. teach that as shown in figure 34, The reactor or structures are constituted in a small space by using microchanneling techniques to provide a substrate which extends along the circumference side surfaces of a cylinder shape, the vapor reforming reaction portion 160X to which a heater for heating fuel, disposed in the flow path

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having a depth and width not more than 500 microns having particular flow path respectively. The selective oxidation reaction portion has a flow path width and depth not more than 500 microns. The substrate are provided to have a predetermined groove shape and predetermined flat surface pattern on one surface side of a small substrate 161 of silicon by using the microfabrication techniques similar to that used in semiconductor manufacture. The vapor forming reaction portion 160X includes a thin film heater (166) which is an area corresponding to an area in which the reforming reaction flow path (164) is formed and provided on the other surface side of the substrate (161). Within the grooves as claimed is catalyst material for catalyzing the reforming, water-gas shift reaction and selective oxidation reactions.[Note Paragraphs [0259 - 0271]] . Shioya et al. specifically teach providing a power supply system for supply electric power by generating hydrogen using reforming, water shift reactions and selective oxidation to produce hydrogen, which is used by the fuel cell to generate electrical energy. With respect to applicant's specific claims which uses the power supply to power a telephone, computer or image pick up device, Shioya et al. teach using the power supply system for powering personal digital assistant's and small appliances, digital camera's which would inherently read on a power supply capable of powering telephones, computers and image pick-up devices.[Note paragraph [0064]]

3. Claims 1-27 are rejected under 35 U.S.C. 102(e) as being anticipated by Jankowski et al. US2003/0138685

Jankowski et al. teach the invention substantially as claimed by providing a MEMs (micro-electro-mechanical system) thin film fuel cell which has the same physical

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arrangement as applicant's compact chemical reactor having a first substrate having a first surface and a groove or channel formed therein; a catalyst provided on an inner surface of the groove, and a second substrate having a surface which contacts the first substrate. Specifically this is taught in Jankowski et al. is providing a MEMS based thin film fuel cell or stack, which includes manifolds and micro flow channels formed in the structure/substrate. The host substrate is fabricated based on yttria stabilized zirconia, nickel, and silver trilayer structure. The microflow channels and manifolds can be made by micromachining techniques. Jankowski teach that substrates 11, 14 and 15 as shown in Figure 3 may be composed of silicon, glass, ceramic plastic or any other material which has sufficient mechanical strength and can withstand the temperature of operation and can be micromachined or cast to form manifold channels.[Note Paragraphs [0026]-[0029]] Jankowski et al. teach that the fuel cell includes a silicon substrate which includes channels, inlets and outlets with approximately 50 microns by 200 microns openings for fuel delivery. Specifically Jankowski in Figure 5, teaches a fuel cell stack which includes a micromachined host structure or substrate (61), a micromachined substrate(62) bonded to substrate (61) at (63) a porous thick-film (64) located on the top surface of substrate (61). The microchannels as described by Jankowski can include catalyst material as well as including thin film heater [Note paragraph 0046, last three lines] as claimed by applicant. With respect to applicant's claims which recite that the power supply is for a telephone, computer, image pickup device, the fuel cell power supply taught by Jankowski teach a power supply which provides a power range form 1-200 Watts have specific energy ranges from 50-250

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W/hr/Kg representing two to three hours of operation for a variety of commercial and military applications which would inherently read on applicant's applications of a power source for a telephone, computer or image pickup device.[Note Paragraph [0003]]

4. Claims 1-23 are rejected under 35 U.S.C. 102(e) as being anticipated by Gardner et al. USP 6,786,716

Gardner et al. teach a microcombustor which reads on applicant's chemical reactor comprising a first substrate and groove formed therein a catalyst layer providing on the inner surface of the groove and a second substrate having a surface which contacts the first substrate. The microcombustor comprises a thin film heater and a thermal sensor, which is supported on a membrane disposed on a substrate.

Specifically the substrate used to form the microcombustor (100) generally comprises a semiconductor silicon or gallium arsenic or dielectric glass, quartz, fused silica, a plastic with a thickness generally about 400-500 microns. The membrane is formed as rectangle or polygon. The suspended membrane (120) is supported by its edges to the substrate (130) Grooves or channels are patterned on the membrane/substrate by etching. A resistive heating element (160) is patterned on the upper side of the membrane and/or can be patterned on the underside of the membrane.[Note Column 5, lines 36-67 and Column 6, lines 12-35] The thin film platinum used as a heating element (160) can have dual functionality as the heater as well as the catalyst for supporting the combustion reaction. The catalyst can also be supported on alumina matrix, semiconducting oxides, zirconia etc. The supported catalyst (110) can be disposed on the surface of the heated membrane or disposed in the grooves or

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channels contained within the microcombustor. Gardner et al. teaches method and means for providing grooves using etching, micromachining or photolithographic techniques to provide grooves or channels of fluid pathways or manifolds within a host substrate. Gardner et al. teach catalytic combustion within the microcombustor which combusts fuels such as natural gas, methane ethane and propane when combusting these hydrocarbon fuels, carbon monoxide and carbon dioxide are by products of the combustion reaction within the microcombustor flow paths.

5. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

6. Claims 1-27 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-23 of copending Application No. 10/628,924. Although the conflicting claims are not identical, they are not patentably distinct from each other because both invention claim a compact

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chemical reactor which include first and second substrates, a microflow path or groove disposed between the first and second substrate and a thin film heater provided within the flow path and a catalyst layer provided on the surface of the first substrate. In the '924 application applicant further recites that the groove includes a concave portion formed in the surface to receive a portion of catalyst layer. It would have been obvious from the claim recited in 10/634,380 that a concave portion containing a catalyst can read on the catalyst layer provided in the flow path or groove disposed on the first substrate although not specifically recited as concave the claims of the instant '380 application are broader and can read on including a concave portion given its broadest most reasonable interpretation and therefore to machine the groove so that the inner surface has a concave portion would render the inventions obvious to one having ordinary skill in the art at the time the invention was made.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

7. Claims 1-27 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-27 of copending Application No10/479,009. Although the conflicting claims are not identical, they are not patentably distinct from each other because both invention claim an apparatus which includes a solid body in which a reaction flow path is formed, a heating element which includes a thin film heater formed on the body of the reactor the flow path also includes a catalyst layer formed in at least a portion of the reaction flow path. The only difference between the instant invention and that of the '009 application is that

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the instant invention is that applicant recites in the '009 application as solid body in which reaction flow path is formed, in dependent claim the solid body comprise a plurality of substrates whereas in the instant application claims a first substrate and second substrate, attached to the first substrate is a microflow path defined between the first substrate and second substrate and a thin film heater is provided in the flow path. The '009 application is broader in scope than that claimed in the instant application and to provide a solid body which includes a plurality of substrates and that the reaction catalyst layer is formed in the substrate reads on applicant's specific micro flow path defined between the first substrate and second substrate and to specifically disposed the flow path between two layers of a substrate or solid body where a plurality of layers in a solid body would have been an obvious selection of layers based on the application which would have been obvious to one having ordinary skill in the art.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Schuessler et al. teach a reformation reactor. Tonkovich et al. teach an active micro channel heat exchanger. Autenrieth et al. teach a system for water vapor reforming of a hydrocarbon in a modular compact plate stack reactor.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to N. Bhat whose telephone number is 571-272-1397. The examiner can normally be reached on Monday-Friday, 9:30AM-6:00PM.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn Caldarola can be reached on 571-272-1444. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



N. Bhat
Primary Examiner
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